Senior Managers & Certification Regime

Senior Managers & Certification Regime from CityLearning is a leading training solution for credit and financial institutions. This interactive eLearning course describes the Senior Managers and Certification Regime and how it applies to your firm and its employees.

Senior Managers & Certification Regime offers a practical way of ensuring that your staff are trained and tested in their role in the SM&CR and the relevant regulatory environment. All test results are recorded for easy verification of compliance training.

CityLearning is a leading provider of compliance eLearning solutions in the UK and Ireland.

Who is it For?

Tailored versions of Senior Managers & Certification Regime are available for different financial sectors, including:

- Accountants
- Asset management
- Credit unions
- Insurance
- Insurance underwriting
- Investment banking
- Leasing and finance
- Life assurance
- Mortgage providers
- Retail banking
- Stockbroking
- Wholesale banking

What Does the Course Cover?

Senior Managers & Certification Regime consists of three modules, each covering key aspects and ending with a short quiz comprising randomly generated questions. A course test, also randomised and based on specific objectives of all three modules, completes the course.

Overview

■ identify the political origins and legislative basis of the Senior Managers & Certification Regime (SM&CR).

■ recognise the scope of the legislation and the remit of the regulatory bodies overseeing it.

■ identify the changes to previous legislation for insurers, including the Approved Persons Regime (APR), made by the Financial Services (Banking Reform) Act 2013.

■ recognise the relationship between Solvency II, SIMR and the SM&CR.

SM&CR Requirements for Insurers

■ describes the aims of the FCA and PRA contributions to the Senior Managers and Certification Regime.

■ outlines key features of the SM&CR, including senior management functions and prescribed responsibilities.

■ identifies who is affected by the different components of the regime.

SM&CR Standards and Rules

■ explains the aims and content of the new SM&CR standards and common Conduct Rules.

■ describes whom the new conduct standards apply to.

■ outlines employee responsibilities under the Conduct Rules.

Why Choose SM&CR from CityLearning?

Compliance with Regulations:
The course helps to ensure compliance with regulatory requirements.

Currency of Content:
The course is updated regularly to reflect relevant changes in legislation.

User-friendly:
The course allows users to pace their training and includes a progress indicator, bookmark facility, glossary and modular structure.

Comprehensive Tracking:
The solution comes with an easy-to-use management tool that tracks and provides reports of employee progress and compliance status.

Customisation Options:
Course content can be tailored to include information on your organisation, internal policies and guidelines.

Flexible Delivery:
This eLearning course can be accessed by Internet or company intranet.

See for Yourself

Visit our website at www.citylearning.com or email us directly at info@citylearning.com to learn more.

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